



Receipt
PATENT #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Donald Norman Spitz, et al.)
Serial No.: 10/023,294) Group: 2853
Filed: December 17, 2001)
Title: CHIMNEY FOR PREVENTING INK MISTING) Examiner: Unknown

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

It is requested that the filing receipt in the above-identified application be corrected as indicated in red on the enclosed copy thereof. In particular, inventors Yu-Feng Huang and Nai-Hsiang Ma should be added as listed on executed Declaration and Power of Attorney for Patent Application submitted April 19, 2002.

It is requested that a corrected filing receipt be forwarded to the undersigned.

Respectfully submitted,

Ronald K. Aust
Registration No. 36,735

Attorney for Applicant

RKA/ts

TAYLOR & AUST, P.C.
12029 E. Washington St.
Indianapolis, IN 46229
Telephone: 317-894-0801
Facsimile: 317-894-0803

Encs.: Filing Receipt
Return postcard

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231, on: June 4, 2002

Ronald K. Aust, Reg. No. 36,735

Name of Registered Representative

Signature

June 4, 2002

Date



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/023,294	12/17/2001	2853	1068	2001- 0617.00/LII0403.US	10	31	3

TAYLOR & AUST, P.C.
12029 E. Washington St.
Indianapolis, IN 46229



CONFIRMATION NO. 7667

FILING RECEIPT



OC000000008194938

Date Mailed: 05/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Donald Norman Spitz, Lexington, KY;
Ryan Timothy Ward, Lexington, KY;

Yu-feng Huang, Taiwan, 600 ROC
Nai-Hsiang Ma, Taiwan, 701 R.O.C.

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/06/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Chimney for preventing ink misting

Preliminary Class

347



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/023,294	12/17/2001	Donald Norman Spitz	2001-0617.00/LII0403.US

CONFIRMATION NO. 7667

FORMALITIES LETTER



OC000000008194939

Date Mailed: 05/28/2002

TAYLOR & AUST, P.C.
12029 E. Washington St.
Indianapolis, IN 46229

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

✓ *A copy of this notice MUST be returned with the reply.*

Aliu mshini

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

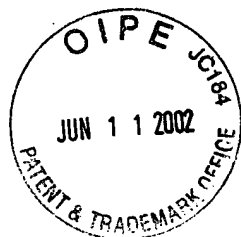
COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/023,294	12/17/2001	Donald Norman Spitz	2001-0617.00/LII0403.US

TAYLOR & AUST, P.C.
12029 E. Washington St.
Indianapolis, IN 46229



CONFIRMATION NO. 7667

FORMALITIES LETTER



OC000000007433230

Date Mailed: 02/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

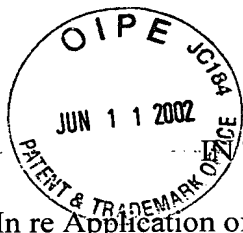
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

Janaye A.
PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Donald Norman Spitz, et al.)
Serial No.: 10/023,294) Group: 2853
Filed: December 17, 2001)
Title: CHIMNEY FOR PREVENTING INK MISTING) Examiner: Unknown

LETTER

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants are in receipt of a Withdrawal Of Previously Sent Notice mailed May 28, 2002, and a Notice To File Missing Parts Of Non-Provisional Application mailed May 28, 2002, in the above-identified matter. The Withdrawal Of Previously Sent Notice indicates that the notice mailed February 6, 2002 was sent in error and is hereby withdrawn. The Notice to File Missing Parts indicates that the oath or declaration is unsigned.

On April 19, 2002, Applicants submitted in response to the Notice To File Missing Parts of February 6, 2002, a Petition For An Extension Of Time, Letter, a copy of the Notice to File Missing Parts, and an executed Declaration and Power of Attorney for Patent Application. For the convenience of the Patent Office, Applicants enclose copies of the documents entitled "Petition For Extension of Time", "Letter", "Notice To File Missing Parts (copy of notice mailed February 6, 2002, "Declaration and Power of Attorney for Patent Application" (executed) and a copy of the return post card stamped by the Patent Office indicating receipt of the correspondence on April 29, 2002.

Applicants, having previously provided an executed copy of a Declaration and Power of Attorney in the above identified matter, submit that Applicants have already fulfilled their

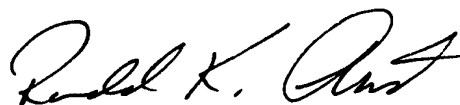
2001-0617.00/LII0403.US

requirement with respect to the Notice To File Missing Parts mailed May 28, 2002. A copy of the Notice to File Missing Parts mailed May 28, 2002, is enclosed.

Applicants, having previously paid the declaration surcharge, believe that no further fees are due in this matter. However, in the event Applicants have overlooked the need for an extension of time, an additional extension of time, payment of fee, or additional payment of fee, Applicants hereby conditionally petition therefor and authorizes that any charges be made to Deposit Account No. 20-0095, TAYLOR & AUST, P.C.

Should any question concerning any of the foregoing arise, the Examiner is invited to telephone the undersigned at (317) 894-0801.

Respectfully submitted,



Ronald K. Aust
Registration No. 36,735
Attorney for Applicant

RKA/ts

TAYLOR & AUST, P.C.
12029 E. Washington St.
Indianapolis, IN 46229
Telephone: 317-894-0801
Facsimile: 317-894-0803

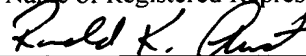
Enc. Return postcard

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on: June 4, 2002.

Ronald K. Aust, Reg. No. 36,735

Name of Registered Representative



Signature

June 4, 2002

Date

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Donald Norman Spitz, et al.

Serial No.: 10/023,294

Filed: December 17, 2001

Title: CHIMNEY FOR PREVENTING INK MISTING



)
)
) Group: 2853

)
) Examiner: Unknown

PETITION FOR EXTENSION OF TIME

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants petition the Commissioner for Patents to extend the time for filing a response to the Notice to File Missing Parts of Non-Provisional Application in the above-entitled application for one (1) month from April 6, 2002 to May 6, 2002.

Applicants submit herewith a check in the amount of \$110.00 which covers the Extension of Time Fee Required by 37 C.F.R. 1.17.

Respectfully submitted,

A handwritten signature in cursive script that reads "Ronald K. Aust".

Ronald K. Aust
Registration No. 36,735
Attorney for Applicant

RKA/ts

TAYLOR & AUST, P.C.
142 S. Main Street
P.O. Box 560
Avilla, IN 46710
Telephone: 219-897-3400
Facsimile: 219-897-9300

Enc. Return postcard
Check No. 5768

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on: April 19, 2002.

Ronald K. Aust, Reg. No. 36,735

Name of Registered Representative

A handwritten signature in cursive script that reads "Ronald K. Aust".

Signature

April 19, 2002

Date

Declaration and Power of Attorney for Patent Application

Packet No.: 2001-0617.00

As a below named inventor(s), I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name:

JUN 11 2002

COPY

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CHIMNEY FOR PREVENTING INK MISTING

the specification of which (check one)

☐ is attached hereto

☒ was filed on December 17, 2001 as

Application Serial No. 10/023,294,

and was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year filed)

I hereby claim the benefit under Title 35, United States Code, 119(e) or 120, whichever is applicable, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Appl. Serial No.)

(Filing Date)

(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Elizabeth C. Jacobs	Reg. No. 34,189
Deborah Murdock	Reg. No. 42,014
Jacqueline M. Daspit	Reg. No. 36,779
John A. Brady	Reg. No. 22,020
D. Brent Lambert	Reg. No. 41,925
Scott N. Barker	Reg. No. 42,292
Cynthia S. Mitchell	Reg. No. 36,081
John J. McArdle, Jr.	Reg. No. 26,770
John V. Pezdek	Reg. No. 30,208
Ronald K. Aust	Reg. No. 36,735

Send Correspondence to: **Ronald K. Aust, 12029 E. Washington Street, Indianapolis, IN 46229**

Direct Telephone Calls to: **Ronald K. Aust, (317) 894-0801**

This declaration ends with this page.

■ Signature for first and subsequent joint inventors on following pages. Number of pages added - [1]

Name of sole or first inventor
Donald Norman Spitz

COPY

First Inventor's signature

Donald Norman Spitz

Date

4-17-02

Residence

145 Louisiana Ave., Lexington, KY 40502

Citizenship

U.S.A.

Post Office Address

145 Louisiana Ave., Lexington, KY 40502

Full Name of second joint inventor, if any

Ryan Timothy Ward

Second Inventor's signature

Ryan Timothy Ward

Date

4-17-02

Residence

1204 Berrywood Dr., Lexington, KY 40515

Citizenship

U.S.A.

Post Office Address

1204 Berrywood Dr., Lexington, KY 40515

Full Name of third joint inventor, if any

Yu-Feng Huang

Third Inventor's signature

Yu-Feng Huang

Date

4/9/02

Residence

No. 45, Lane 98, Shiuan Shin Street, Chiai city, Taiwan, 600 R.O.C.

Citizenship

R.O.C. (Republic of China, Taiwan)

Post Office Address

2F, No. 369, Pei-Ta Rd., HsinChu city, Taiwan, R.O.C.

Full Name of fourth joint inventor, if any

Nai-Hsiang Ma

Fourth Inventor's signature

Nai-Hsiang Ma

Date

4/9/02

Residence

No. 27, Alley 70, Lane 446, Yunung Rd. Tainan, Taiwan, 701 R.O.C.

Citizenship

R.O.C. (Republic of China, Taiwan)

Post Office Address

2F, No. 369, Pei-Ta Rd., HsinChu city, Taiwan, R.O.C.

Taiwan
City of Taipei
American Institute in
Taiwan, Taipei Office } ss:

On April 9, 2002, before me, the undersigned,
personally appeared HUANG, YU FENG and MA, NAI HSIANG
proved to me on the basis of satisfactory evidence to be the
person whose name(s) is/are subscribed to this instrument,
and acknowledged that he/she/they executed it.

2001-0617.00

MA



COPY

RECEIPT IS ACKNOWLEDGED OF:

TYPE OF PAPER:
LETTER

ENCS.: Declaration (executed)
Notice To File Missing Parts
Check No. 5770, \$130.00

DATE MAILED: April 19, 2002
OUR REF.: 2001-0617.00/LII0403.US

TITLE: CHIMNEY FOR PREVENTING INK MISTING
APPLICANT: Donald Norman Spitz, et al
SERIAL NO.: 10/023,294
FILING DATE: December 17, 2002

RKA/ts

